

Context-free Grammar

BNF-notation

$$\begin{aligned} <u1> &\rightarrow <u1> + <u2> \mid <u2> \\ <u2> &\rightarrow <u2> * <u3> \mid <u3> \\ <u3> &\rightarrow (<u1>) \mid \mathbf{a} \mid \mathbf{b} \end{aligned}$$

Derivation

Substitute all occurrences of nonterminal symbols with a right hand side of a BNF-rule for the non-terminal:

$$\begin{aligned} <u1> &\Rightarrow \underline{<u1>} + <u2> \Rightarrow \underline{<u2>} + <u2> \Rightarrow \\ <u3> + \underline{<u2>} &\Rightarrow <u3> + <u2> * \underline{<u3>} \Rightarrow \\ <u3> + \underline{<u2>} * \mathbf{a} &\Rightarrow <u3> + \underline{<u3>} * \mathbf{a} \Rightarrow \\ <u3> + \mathbf{b} * \mathbf{a} &\Rightarrow \mathbf{a} + \mathbf{b} * \mathbf{a} \end{aligned}$$

↑ the substitution has terminated

From this the names terminal/non-terminal:

$<u1>, <u2>, <u3>$	are non-terminal symbols
$\mathbf{a}, \mathbf{b}, (,), +, *,$	are terminal symbols

Context-Free Grammar

$$G=(V_T,V_N,S,P)$$

- V_T : the Terminal Alphabet, the set of terminal symbols
- V_N : the Nonterminal Alphabet, the set of Nonterminal symbols

$$V=V_T \cup V_N$$

- S : startsymbol, $S \in V_N$

- P : a finite non-empty set of productions
A production has the form

$$A \rightarrow \alpha, \text{ where } A \in V_N, \alpha \in V^*$$

Example:

$$V_T = \{a,b,(,),+,*\}$$

$$V_N = \{E,T,F\}$$

$$S = E$$

$$P = \begin{aligned} &E \rightarrow E + T \\ &E \rightarrow T \\ &T \rightarrow T * F \\ &T \rightarrow F \\ &F \rightarrow (E) \\ &F \rightarrow a \\ &F \rightarrow b \end{aligned}$$

Derivation (formal definition)

Consider a context-free grammar $G=(V_T,V_N,S,P)$, $V=V_T \cup V_N$

Relations in V^* :

$\Rightarrow$	<i>derives in one step</i>
definition;	$\alpha A \beta \Rightarrow \alpha \gamma \beta$ iff $(A \rightarrow \gamma) \in P$, $\alpha, \beta \in V^*$
$\Rightarrow^+$	<i>derives in one or more steps</i>
definition:	Let $\alpha_1, \alpha_2, \dots, \alpha_n \in V^*$ such that $\alpha_1 \Rightarrow \alpha_2 \Rightarrow \alpha_3 \Rightarrow \dots \Rightarrow \alpha_n$, ($n > 1$), a derivation of α_n from α_1 then $\alpha_1 \Rightarrow^+ \alpha_n$ or α_1 <i>derives in one or more steps</i> α_n
$\Rightarrow^*$	<i>derives in zero or more steps</i>
definition:	$\alpha \Rightarrow^* \beta$ iff $\alpha \Rightarrow^+ \beta$ or $\alpha = \beta$

The Language defined by G

$G=(V_T,V_N,S,P)$, $V=V_T \cup V_N$

$S \Rightarrow^* \alpha$, $\alpha \in V^*$, α is a *sentential form*

$S \Rightarrow^* x$, $x \in V_T^*$, x is a *sentence*

$L(G) = \{x \mid S \Rightarrow^+ x \wedge x \in V_T^*\}$, the set of all sentences

Context-Free Grammar

Derivation

$E \rightarrow$	$E + T$	$ $	T
$T \rightarrow$	$T * F$	$ $	F
$F \rightarrow$	(E)	$ $	$a \mid b$

Derives in one step $\Rightarrow$

$T + F * a \Rightarrow T + (E) * a$

Derives in one or more steps $\Rightarrow^+$

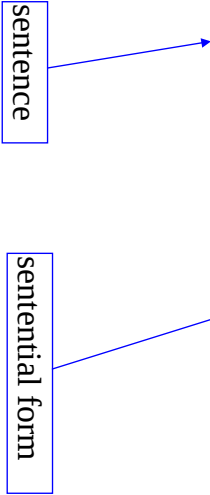
$T + \underline{F} * a \Rightarrow T + (\underline{E}) * a \Rightarrow T + (E + \underline{T}) * a \Rightarrow T + (E + \underline{F}) * a \Rightarrow T + (E + b) * a$

is a derivation of $T + (E + b) * a$ from $T + F * a$ so

$T + F * a \Rightarrow^+ T + (E + b) * a$

Sentential Form, Sentence

$E \Rightarrow \underline{E} + T \Rightarrow \underline{T} + T \Rightarrow \underline{F} + T \Rightarrow a + \underline{T} \Rightarrow a + \underline{T} * F \Rightarrow a + F * F \Rightarrow a + b * F \Rightarrow a + b * b$



Leftmost & Rightmost Derivations, Parse Trees

Leftmost derivation:

In every derivation step expand leftmost nonterminal:

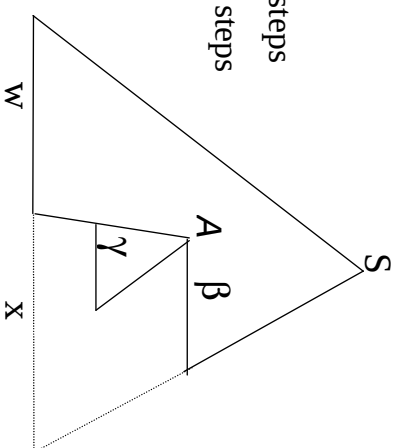
$$S \Rightarrow_1^* w A \beta \Rightarrow_1 w \gamma \beta \Rightarrow_1^* w x, \quad (A \rightarrow \gamma) \in P, \quad w \in V_T^*, \beta \in V^*$$

$$\Rightarrow_1 \text{ leftmost derives in one step}$$

$\Rightarrow_1^+$ leftmost derives in one or more steps

$$\stackrel{*}{\Rightarrow}_1 \text{ leftmost derives in zero or more steps}$$

$S \Rightarrow_1^* \alpha$ α is a left sentential form



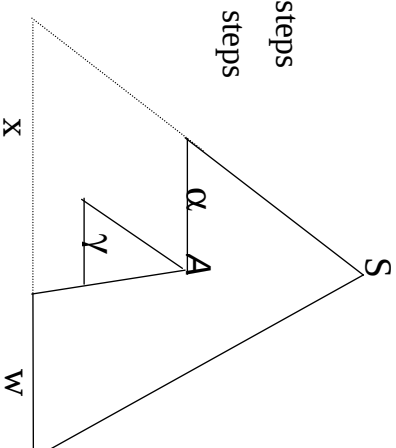
Rightmost derivation:

In every derivation step expand rightmost nonterminal:

$$S \Rightarrow_r^* \alpha A w \Rightarrow_r \alpha \gamma w \Rightarrow_r^* x w, \quad (A \rightarrow \gamma) \in P, \quad w \in V_T^*, \quad \alpha \in V^*$$

$$\Rightarrow_r \text{ rightmost derives in one step}$$
$$\Rightarrow_r^+ \text{ rightmost derives in one or more steps}$$
$$\stackrel{*}{\Rightarrow}_r \text{ rightmost derives in zero or more steps}$$

$S \Rightarrow_r^* \alpha$ α is a right sentential form



A sentence $x \in L(G)$ usually has many derivations

including $S \Rightarrow_1^* X$ (the leftmost derivation), $S \Rightarrow_r^* X$ (the rightmost derivation).

Leftmost Derivations, Parse Trees

$$\begin{array}{c} \boxed{\text{H}} \\ \downarrow \end{array}$$

$$|H\rangle + |T\rangle \Rightarrow$$

$$\begin{array}{c} \text{I} \\ + \\ \text{I} \\ \Downarrow \end{array}$$

$$\begin{array}{c} \text{H} \\ + \\ \text{I} \\ \Downarrow \end{array}$$

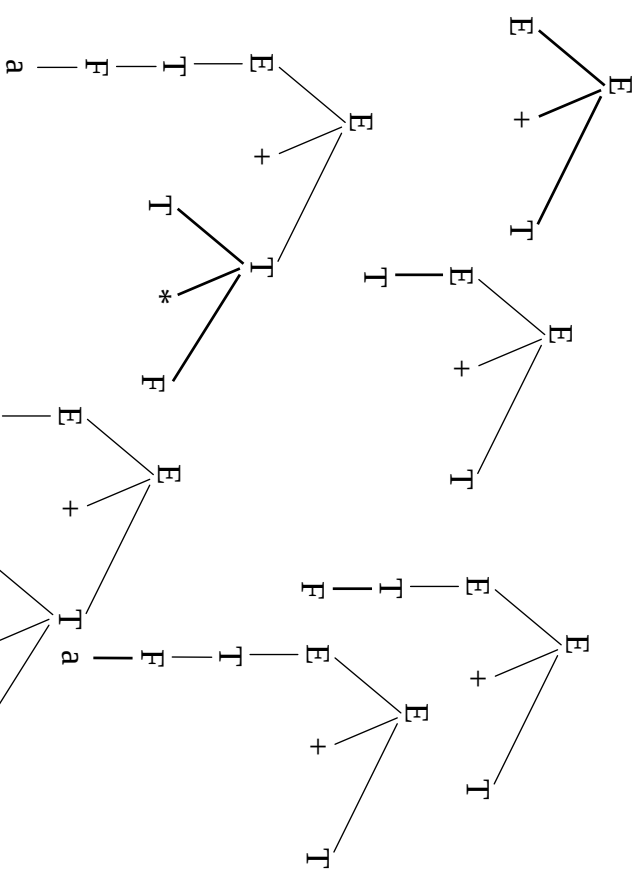
$$\overline{a} + \overline{1} \Rightarrow$$

$$\mathbb{I}^* \Rightarrow \mathbb{I} + \mathfrak{a}$$

$$\mathfrak{a} + \overline{\mathfrak{f}} * \mathfrak{f} \rightrightarrows$$

$$\overline{a + b} * \overline{F} \Rightarrow$$

$$a + b \Rightarrow a * b$$

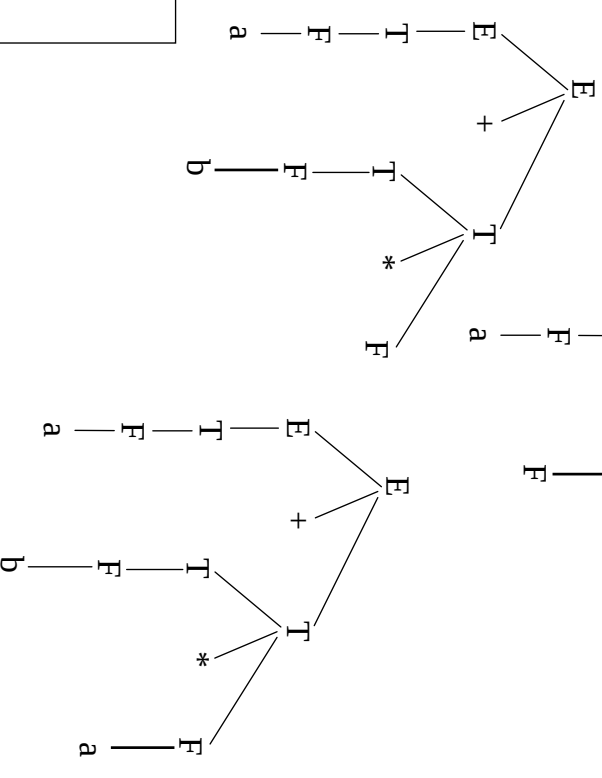


Grammar:

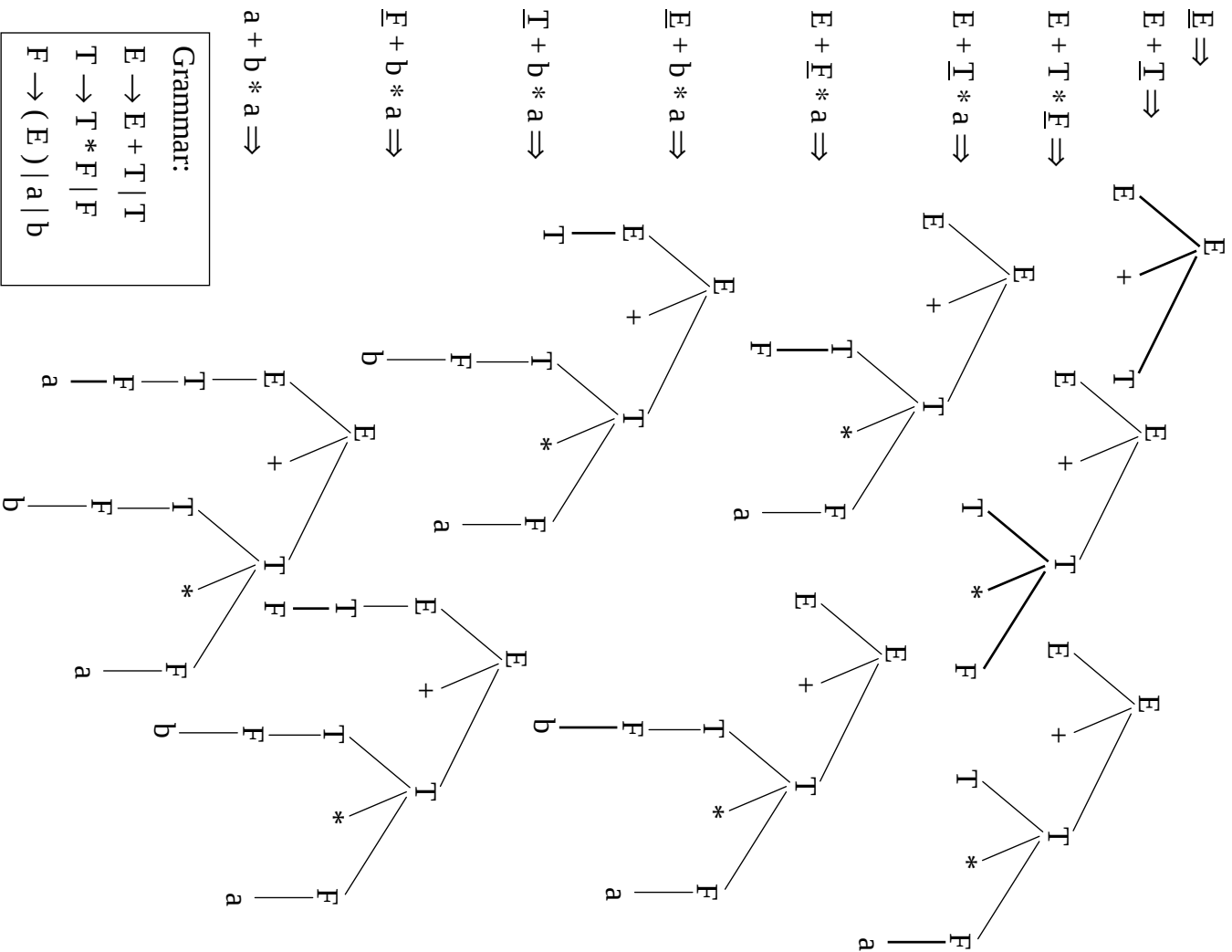
$$\overline{E \rightarrow E + T} \mid T$$

$$\frac{\mathbf{F}}{\mathbf{F}^*} \rightarrow \mathbf{I}$$

$$\overline{F \rightarrow (E) | a | b}$$



Rightmost Derivations, Parse Trees



One Language, Several Grammars

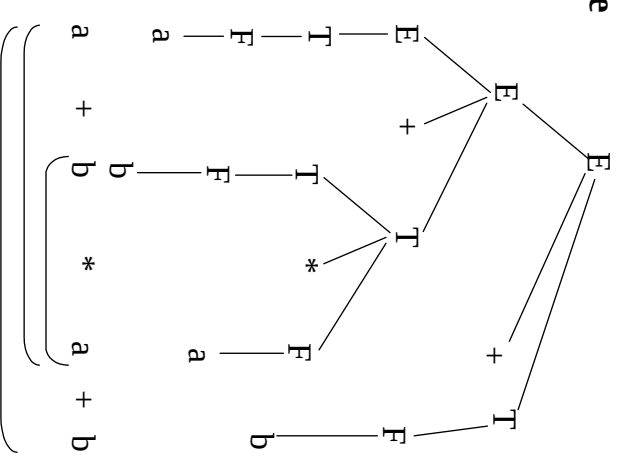
A language may be defined by several different grammars:

Example: the Expression Language

G1: $E \rightarrow E + T \mid T$
 $T \rightarrow T * F \mid F$
 $F \rightarrow (E) \mid a \mid b$

Operators have different priority,
 $\text{pr}(*) > \text{pr}(+)$.

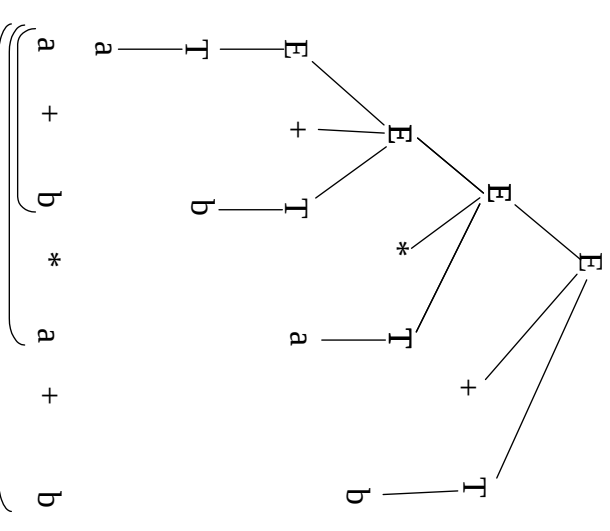
Operators are left associative.



G2: $E \rightarrow E + T \mid E * T \mid T$
 $T \rightarrow (E) \mid a \mid b$

Operators have same priority.

Operators are left associative.

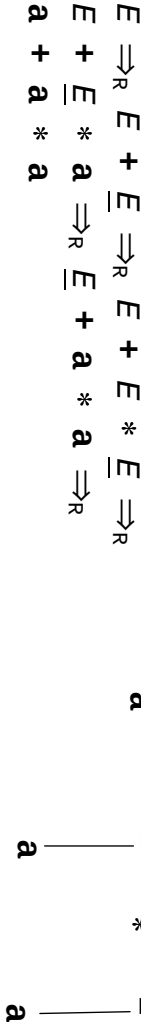


Grammars Defining the Same Language

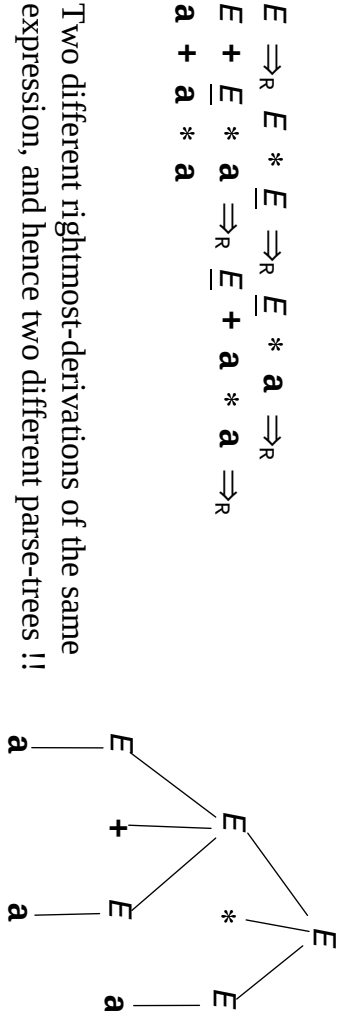
$\begin{array}{l} E \rightarrow E + T \mid T \\ T \rightarrow T * F \mid F \\ F \rightarrow (E) \mid a \mid b \end{array}$	+ has lower priority than * + and * are left associative
$\begin{array}{l} E \rightarrow E + T \mid E * T \mid T \\ T \rightarrow (E) \mid a \mid b \end{array}$	+ and * have same priority + and * are left associative
$\begin{array}{l} E \rightarrow T + E \mid T \\ T \rightarrow F * T \mid F \\ F \rightarrow (E) \mid a \mid b \end{array}$	+ has lower priority than * + and * are right associative
$\begin{array}{l} E \rightarrow E + T \mid T \\ T \rightarrow F * T \mid F \\ F \rightarrow (E) \mid a \mid b \end{array}$	+ has lower priority than * + is left associative, * is right associative
$\begin{array}{l} E \rightarrow T Em \\ Em \rightarrow + T Em \mid \varepsilon \\ T \rightarrow F Tm \\ Tm \rightarrow * F Tm \mid \varepsilon \\ F \rightarrow (E) \mid a \mid b \end{array}$	Transformed grammar for top-down syntax- analyses (left recursion removed)
$\begin{array}{l} E \rightarrow E + E \mid E * E \mid (E) \mid a \mid b \end{array}$	priority ? associativity ?

Ambiguous Grammars

$$\begin{array}{l} E \rightarrow E + E \mid E * E \mid (E) \mid a \mid b \end{array}$$



$$\begin{array}{l} E \Rightarrow_R E * \underline{E} \Rightarrow_R \underline{E} * a \Rightarrow_R \\ E + \underline{E} * a \Rightarrow_R \underline{E} + a * a \Rightarrow_R \\ a + a * a \end{array}$$



Notice, it is the grammar that is ambiguous, not the language.

If (in a language reference) a language is defined by an ambiguous grammar, *disambiguating rules* must be added, e.g.:

$\begin{array}{l} E \rightarrow E + E \mid E * E \mid (E) \mid a \mid b \end{array}$	Disambiguating rules:
	The operators + and * are left associative.
	The operator * has greater priority than +

Ambiguous Grammars (dangling else)

$stat \rightarrow \text{if } cond \text{ then } stat \mid$
 $\text{if } cond \text{ then } stat \text{ else } stat$
 $id := expr \mid$
 $\dots$
 $cond \rightarrow \dots$

Unambiguous statements:

$\text{if } C_1 \text{ then } \underline{\text{if } C_2 \text{ then } S_2}$
 $\text{if } C_1 \text{ then } \underline{S_1 \text{ else if } C_2 \text{ then } S_2}$
 $\text{if } C_1 \text{ then } \underline{\text{if } C_2 \text{ then } S_1 \text{ else } S_2 \text{ else } S_3}$

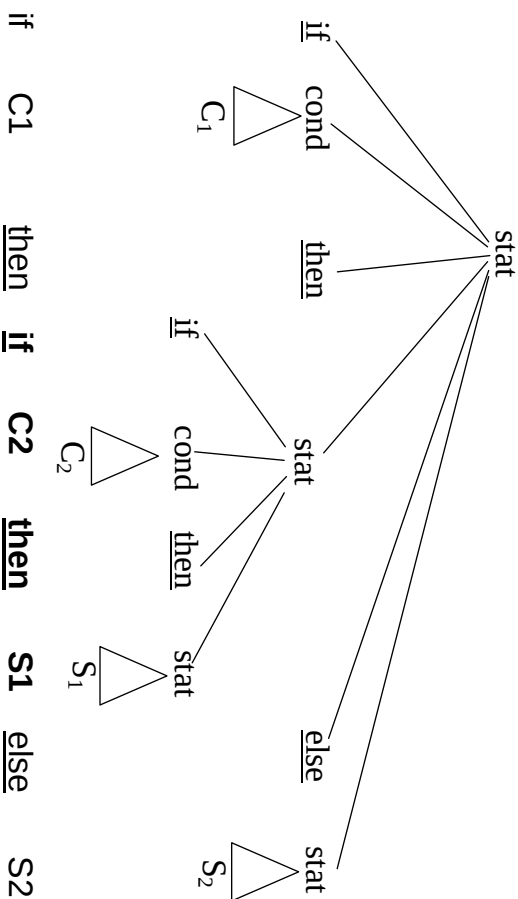
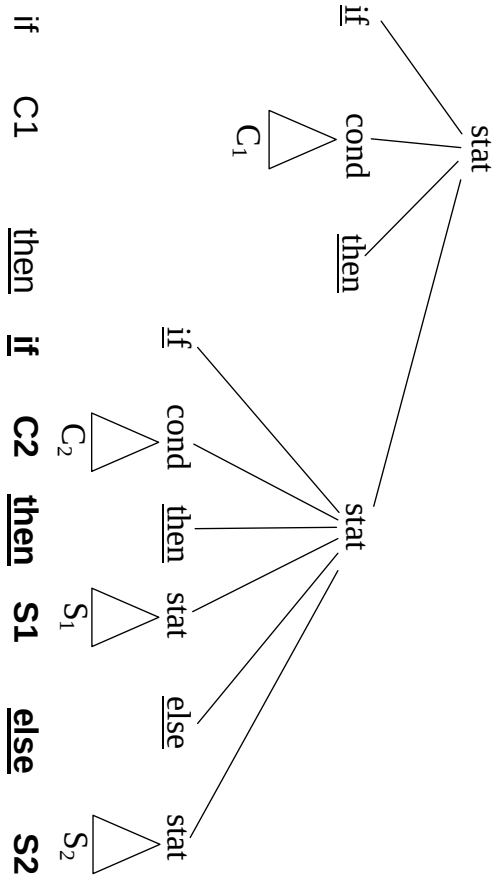
Ambiguous statements:

$\text{if } C_1 \text{ then } \underline{\text{if } C_2 \text{ then } S_1 \text{ else } S_2}$
 $\text{if } C_1 \text{ then } \underline{\text{if } C_2 \text{ then if } C_3 \text{ then } S_1 \text{ else } S_2 \text{ else } S_3}$

Disambiguating rule:

An **else** should always be paired with the previous unmatched **then**

Ambiguous Grammars (dangling else)



Grammars Defining the Same Language

Operator Priority/ Binding Strength

G1: $u0 \rightarrow \mathbf{if} \ u0 \ \mathbf{then} \ u0 \ \mathbf{else} \ u0 \mid u1$ $u1 \rightarrow u1 \ \& \ u2 \mid u2$ $u2 \rightarrow u3 = u3 \mid u3$ $u3 \rightarrow u3 + u4 \mid u4$ $u4 \rightarrow u4 * u5 \mid u5$ $u5 \rightarrow (u0) \mid \mathbf{Id} \mid \mathbf{Const}$	lowest priority/ binding strength highest priority/ binding strength
---	--

$$a + \underbrace{(\text{if } a=b \& c=d \text{ then } 2 \text{ else } a + b + c * d)}_{\text{expression}}$$

G2: $u0 \rightarrow u0 \ \& \ u1 \mid u1$ $u1 \rightarrow u2 = u2 \mid u2$ $u2 \rightarrow u2 + u3 \mid u3$ $u3 \rightarrow u3 * u4 \mid u4$ $u4 \rightarrow \text{if } u0 \text{ then } u0 \text{ else } u4 \mid u5$ $u5 \rightarrow (u0) \mid \text{Id} \mid \text{Const}$	lowest priority/ binding strength highest priority/ binding strength
--	--

$$a + \underbrace{\left(\underbrace{\text{if } a=b}_{\text{if } a=b} \& \underbrace{c=d}_{c=d} \right)}_{\text{if } a=b \& c=d} \text{ then } \underbrace{2}_{2} \text{ else } \underbrace{a}_{a} + \underbrace{b}_{b} + \underbrace{c*d}_{c*d} + e$$

TopDown Parsing

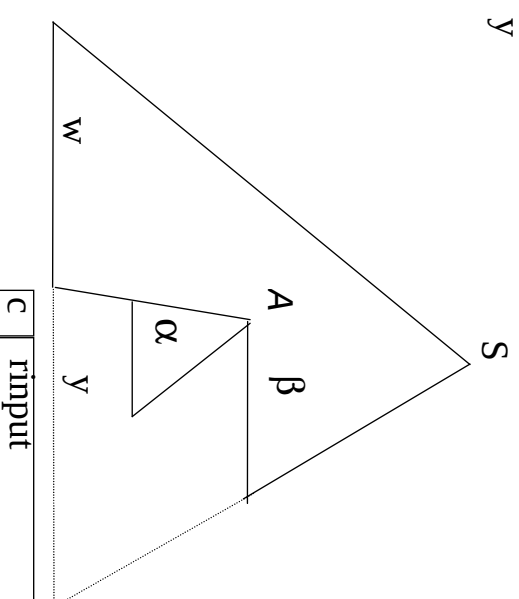
Consider a context-free grammar $G=(V_T, V_N, S, P)$, $V=V_T \cup V_N$
 Let $x \in V^*$, find leftmost derivation $S \Rightarrow_L^* x$.

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The general step:

$$S \Rightarrow_L^* \underbrace{w A \beta \Rightarrow_1 w \alpha \beta}_{\text{by Lemma 4.1}} \Rightarrow_L^* w y = x,$$

$$\text{select } (A \rightarrow \alpha) \in \{ A \rightarrow \alpha_1, A \rightarrow \alpha_2, \dots, A \rightarrow \alpha_n, \}$$

$$\text{such that } \alpha \beta \Rightarrow_1^* \gamma \quad \quad \quad \text{S}$$


A grammar is LL(1)

if it is possible to determine the production $A \rightarrow \alpha$ from A and the *lookahead* symbol c

LL(1) $\sim$ Left to Right Parse, Leftmost-derivation, 1-symbol lookahead.

Necessary conditions for LI(1):

- No left recursive symbols (e.g. $u2 \rightarrow u2 + u3 \mid u3$)
- No alternatives starting with same symbol (e.g. $u2 \rightarrow u3 + u2 \mid u3$)

Recursive Descent TopDown Parsing

Using EBNF:

$$X_4 = ("X_1") \mid I_C \mid I_D$$


Defer decision until the difference appears:

$$A \rightarrow \alpha(\overbrace{\beta_1 | \beta_2 | \dots | \beta_m}) | \gamma_1 | \gamma_2 | \dots | \gamma_n$$
A tail
↕
$$A \rightarrow \alpha \text{ Atail} \mid \gamma_1 \mid \gamma_2 \mid \dots \mid \gamma_n$$
$$\text{Atail} \rightarrow \beta_1 | \beta_2 | \dots | \beta_m$$

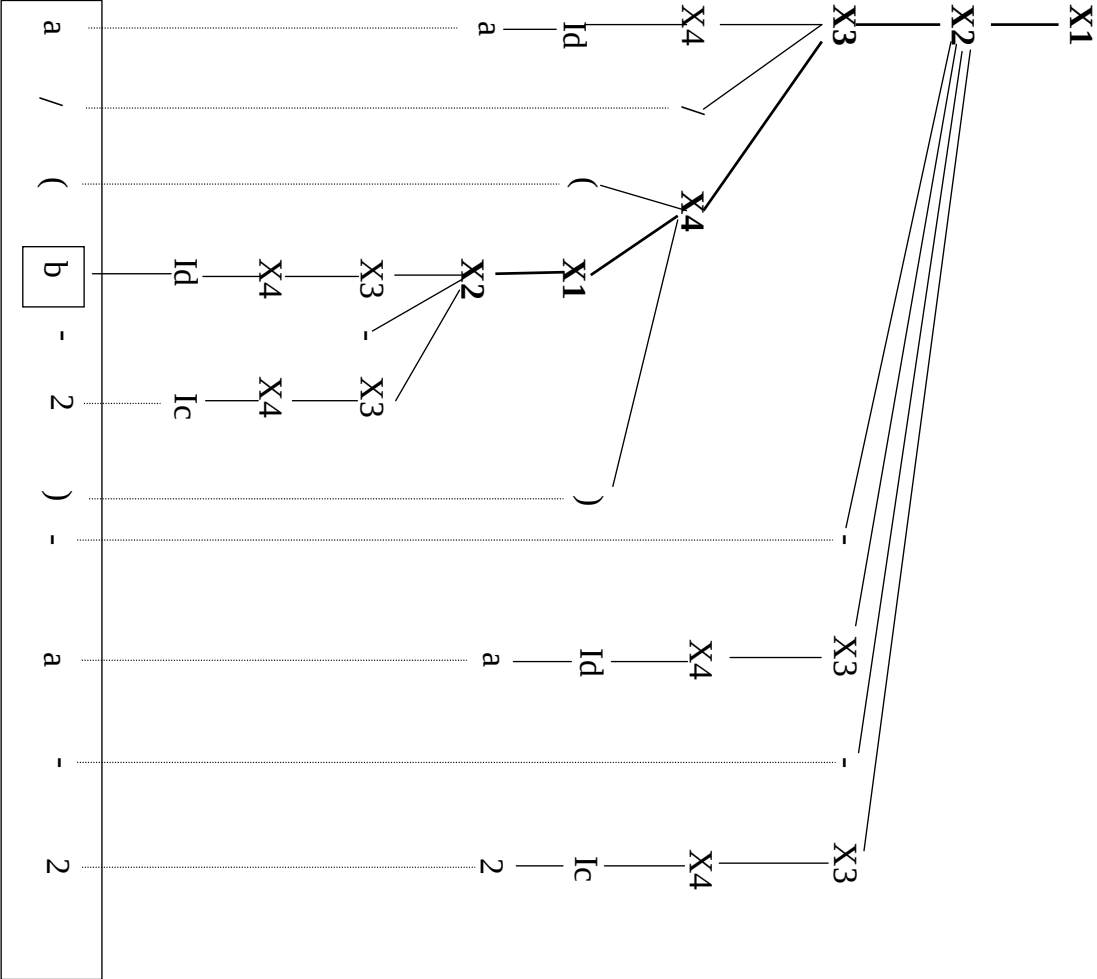
Example:

S $\rightarrow$ **if** *C* **then** *S* **else** *S* **fi** | **if** *C* **then** *S* **fi**

S → if C then S₁ | fi₁ | fi₂

S → **if** *C* **then** *S* **if tail**

```
iftail → else fi | fi
```



Recursive Descent Parser - top down (1)

Command = "quit" | "show" X0 | "eval" X0

```
nextCommand()
{ Input new command from user;
  Find firstToken;
  switch(firstToken)
  { case EOF_T:  break; //empty command line
    case QUIT_T: //quit if nextToken is EOF
    case SHOW_T:{ findNextToken(); ep= x0P();
                  nextToken should be EOF_T
                  Print ep to screen;} break;
    case EVAL_T: { findNextToken(); ep= x0P();
                  nextToken should be EOF_T;
                  print value of ep;} break;
    default:      syntaxerror;
  }
}
```

Recursive Descent Parser (2)

X0 = X1 { "-" X1 }
X1 = X2 { "/" X2 }
X2 = "(" X0 ")" | Const | Id

```
X0P()
{ ep= x1P();
  while(currentToken == MINUS_T)
  { findNextToken(); rop= x1P();
    ep= Sub(ep,rop);
  }
  return ep;
}

X1P()
{ ep= x2P();
  while(currentToken == DIV_T)
  { findNextToken(); rop= x2P();
    ep= new Div(ep, rop);
  }
  return ep;
}

X2P()
{ switch(currentToken)
{ case LeftP_T: findNextToken; ep= x0P();
                 nextToken should be a right parenthesis;
                 return ep;
  case ICONST_T:
    return the value of the constant;
  case ID_T:
    { Id= Sc.getText; findNextToken;
      return Value of 'Id'; }
  default: syntaxerror();
}
}
```