

Advanced Solver Technology

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Part 1

Beyond Finiteness: Strongly Recognizable Relations

Outline of Part 1:

- The Spi Calculus
- Normalizable Horn Clauses
- Efficient Subclasses
- Instantiation

1. The Spi Calculus

The Spi Calculus

Abadi, Gordon 1999

- allows formalization of (certain) cryptographic protocols;
- generalizes π by
 - structured terms and
 - explicit encryption / decryption.

Example:

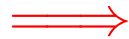
send($c_1, \{\text{pair}(x_1, x_2)\}_k$);

recv(c_2, y);

case y **of** $\{x\}_k$; **1**

Control-flow Analysis:

- Approximate possible values of variables;
- Approximate possible values sent through channels !



Who knows what?

Natural Formulation of the Analysis:

Horn Clauses

terms	program parts values
predicates	<i>reach/1</i> <i>occurs/1</i> <i>hasValue/2</i> <i>hasMessage/2</i>

Decryption:

$reach(\text{prog}) \quad \Leftarrow$

$hasValue(X, Y) \quad \Leftarrow \quad reach(\text{decrypt}(E, X, K, T)) \wedge$
 $hasValue(K, V) \wedge$
 $hasValue(E, \text{enc}(Y, V))$

...

$occurs(E) \Leftarrow reach(\text{decrypt}(E, _, _, _))$

$reach(T) \Leftarrow reach(\text{decrypt}(E, _, K, T)) \wedge$
 $hasValue(K, V) \wedge$
 $hasValue(E, \text{enc}(_, V))$

2. Normalizable Horn Clauses

Desired Properties:

- Relational formulation;
- Possibly infinite least model;
- Effective construction.

Comparison:

Set Constraints:

Heintze, Jaffar 1990

$$B \supseteq a(A, A)$$

$$C \supseteq B \cap \pi_{b,2}(A)$$

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Set Constraints:

Heintze, Jaffar 1990

$$\begin{aligned} B &\supseteq a(A, A) \\ C &\supseteq B \cap \pi_{b,2}(A) \end{aligned}$$

can be simulated by:

$$\begin{aligned} \mathbf{aux}_B(a(X_1, X_2)) &\Leftarrow \mathbf{aux}_A(X_1) \wedge \mathbf{aux}_A(X_2) \\ \mathbf{aux}_C(X) &\Leftarrow \mathbf{aux}_B(X) \wedge \mathbf{aux}_A(b(_, X)) \\ &:-) \end{aligned}$$

Uniform Horn Clauses:

Frühwirth, Shapiro, Vardi, Yardeni 1991

$$\begin{aligned} p(a(X_1, \dots, X_k)) &\Leftarrow p_1(X_1) \wedge \dots \wedge p_k(X_k) \\ p(X) &\Leftarrow q_1(t_1) \wedge \dots \wedge q_m(t_m) \\ p(c) &\Leftarrow q_1(t_1) \wedge \dots \wedge q_m(t_m) \end{aligned}$$

Clauses which are **not** uniform:

$p(a(X, Y))$	\Leftarrow	$q(b(X, Y))$	(relabeling)
$p(X, Y)$	\Leftarrow	$e(X, Z) \wedge p(Z, Y)$	(composition)
$p(X, Y, Z)$	\Leftarrow	$q(Y, X, Z)$	(permutation)
$p(X, Z)$	\Leftarrow	$q(X, Y, Z)$	(general projection)

The Class $\mathcal{H}1$:

Chr. Weidenbach 1999

Nielson, Nielson, Seidl 2002

$$p(a(X_1, \dots, X_k)) \Leftarrow any$$

$$p(X_1, \dots, X_k) \Leftarrow any$$

Examples:

$p(a(X, Y))$	\Leftarrow	$q(b(X, Y))$	(relabeling)
$p(X, Y)$	\Leftarrow	$e(X, Z) \wedge p(Z, Y)$	(composition)
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... all are $\mathcal{H}1$:-)

Theorem:

Assume c is $\mathcal{H}I$ with least model \mathcal{H} . Then

- (1) $\mathcal{H}(p)$ is strongly recognizable;
- (2) \mathcal{H} can be computed in deterministic exponential time.

Strongly Recognizable Relation \equiv

finite union of Cartesian products
of recognizable tree languages

Closure under:

- Boolean operations;
- Cartesian product;
- **transitive closure.**

Idea: Normalization

Goal: Automata Form:

$$p(a(Z_1, \dots, Z_k)) \Leftrightarrow p_1(X_1) \wedge \dots \wedge p_n(X_n)$$

$$p(Z_1, \dots, Z_k) \Leftrightarrow p_1(X_1) \wedge \dots \wedge p_n(X_n)$$

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Technique:

\implies Add **simpler** implied rules until saturation :-)

\implies All non-automata clauses then are **redundant** :-)

\implies All non-automata clauses can be removed :-)

Adding Simpler Clauses (0):

Expanding universal predicates:

For:

$$p(X) \Leftarrow$$

add all clauses:

$$p(a(Z_1, \dots, Z_k)) \Leftarrow p(Z_1) \wedge \dots \wedge p(Z_k)$$

for every constructor a $:-)$

Adding Simpler Clauses (1):

Resolving Complex Queries:

$$p(X, Y) \quad \Leftarrow \quad q(a(b(X) , Z)) \wedge h(Z)$$

$$q(a(X_1, X_2)) \quad \Leftarrow \quad q_1(X_1) \wedge q_2(X_2)$$

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resolves to:

$$p(X, Y) \Leftarrow q_1(b(X)) \wedge q_2(Z) \wedge h(Z)$$

- Unification does not introduce new bindings in the first clause.
- The variables in the automata clause are instantiated to sub-terms ...
 \implies Every new clause has **smaller** terms :-)

Adding Simpler Clauses (2):

Resolving with a One-variable Clause:

$$p(X) \quad \Leftarrow \quad q(X) \wedge h(X)$$

$$q(a(X_1, X_2)) \quad \Leftarrow \quad q_1(X_1) \wedge q_2(X_2)$$

Adding Simpler Clauses (2):

Resolving with a One-variable Clause:

$$\begin{aligned} p(X) &\Leftrightarrow q(X) \wedge h(X) \\ q(a(X_1, X_2)) &\Leftrightarrow q_1(X_1) \wedge q_2(X_2) \end{aligned}$$

Adding Simpler Clauses (2):

Resolving with a One-variable Clause:

$$\begin{aligned} p(X) &\Leftrightarrow q(X) \wedge h(X) \\ q(a(X_1, X_2)) &\Leftrightarrow q_1(X_1) \wedge q_2(X_2) \end{aligned}$$

resolves to:

$$p(a(X_1, X_2)) \Leftrightarrow q_1(X_1) \wedge q_2(X_2) \wedge h(a(X_1, X_2))$$

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resolves to:

$$p(a(X_1, X_2)) \Leftarrow q_1(X_1) \wedge q_2(X_2) \wedge h(a(X_1, X_2))$$

- The single variable is instantiated with the constructor term of the automata clause.
- New terms in the body are subsequently removed :-)

Adding Simpler Clauses (3):

Splitting:

$$p(X) \Leftarrow q(X) \wedge h_1(Z) \wedge h_2(Z)$$

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$$\text{flag}() \Leftarrow h_1(Z) \wedge h_2(Z)$$

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Splitting:

$$p(X) \Leftarrow q(X) \wedge \boxed{h_1(Z) \wedge h_2(Z)}$$

is split into:

$$\begin{aligned} p(X) &\Leftarrow q(X) \wedge \text{flag}() \\ \text{flag}() &\Leftarrow \boxed{h_1(Z) \wedge h_2(Z)} \end{aligned}$$

If $\boxed{h_1(Z) \wedge h_2(Z)}$ is **satisfiable**, i.e., the intersection of languages accepted by the corresponding automata states is **non-empty**, we add the clause:

$$p(X) \Leftarrow q(X)$$

Discussion:

- Normalization results in a set of automata clauses :-)
- The automata representation allows to decide **satisfiability** of queries such as:

$$\Leftarrow p(X, a(X, b(Y, c, Y))) \wedge q(c(X, X))$$

... and also provide candidate substitutions :-)

- **HI**-clauses can be used to **approximate** general Horn clauses ...

Example:

$$p(a(b(X) , Y, X)) \Leftarrow \textit{blabla}$$

Example:

$$p(a(\boxed{b(X)}, Y, X)) \Leftarrow \textit{blabla}$$

Example:

$$p(a(\boxed{b(X)}, Y, X)) \Leftarrow \textit{blabla}$$

can be approximated with:

$$\begin{aligned} \text{aux}(\boxed{b(X)}) &\Leftarrow \textit{blabla} \\ p(a(\color{red}{Z}, Y, X)) &\Leftarrow \text{aux}(\color{red}{Z}) \wedge \textit{blabla} \end{aligned}$$

Example:

$$p(a(\boxed{b(X)}, Y, X)) \Leftarrow \textit{blabla}$$

can be approximated with:

$$\begin{aligned} \text{aux}(\boxed{b(X)}) &\Leftarrow \textit{blabla} \\ p(a(Z, Y, X)) &\Leftarrow \text{aux}(Z) \wedge \textit{blabla} \end{aligned}$$

The least model for the approximative set of clauses provides **super-sets** of tuples for every given predicate ...



If a query is now **unsatisfiable**, then it was originally unsatisfiable :-)

If it is **satisfiable**, we know nothing :-)

3. Polynomial Subclasses

The Problem with $\mathcal{H}1$:

Construction of least model is **DEXPTIME-hard**.

Frühwirth et al. 1990, Seidl 1994

The Restriction $\mathcal{H}2$:

Head variables occur in pre-conditions at most **once** :-)

Examples:

$p(a(X, Y))$	\Leftarrow	$q(b(X, Y))$	(relabeling)
$p(X, Y)$	\Leftarrow	$e(X, Z) \wedge p(Z, Y)$	(composition)
$p(X, Y, Z)$	\Leftarrow	$q(Y, X, Z)$	(permutation)
$p(X, Z)$	\Leftarrow	$q(X, Y, Z)$	(general projection)

... all are $\mathcal{H}2$:-))

Theorem:

Let c an $\mathcal{H}2$ -clause where k is the max. number of **variable occurrences** in implications.

Then the least model of c can be computed in time

$$|c|^{\mathcal{O}(k)}$$

Corollary:

The transitive closure of a strongly recognizable binary tree relation can be computed in **polynomial time**.

Proof:

$$\mathit{trans}(X, Y) \iff \mathit{edge}(X, Y)$$

$$\mathit{trans}(X, Z) \iff \mathit{edge}(X, Y) \wedge \mathit{trans}(Y, Z)$$

□

The Problem with $\mathcal{H}2$:

- The exponent can be large :-)
- Not all implications of Spi analysis satisfy $\mathcal{H}2$:-(

Example:

Expression Evaluation

$$\textit{occurs}(E_1) \quad \Leftarrow \quad \textit{occurs}(\textit{pair}(E_1, E_2))$$

$$\textit{occurs}(E_2) \quad \Leftarrow \quad \textit{occurs}(\textit{pair}(E_1, E_2))$$

$$\begin{aligned} \textit{hasValue}(\textit{pair}(E_1, E_2), \textit{pair}(V_1, V_2)) \\ \Leftarrow \quad & \textit{occurs}(\textit{pair}(E_1, E_2)) \wedge \\ & \textit{hasValue}(E_1, V_1) \wedge \\ & \textit{hasValue}(E_2, V_2) \end{aligned}$$

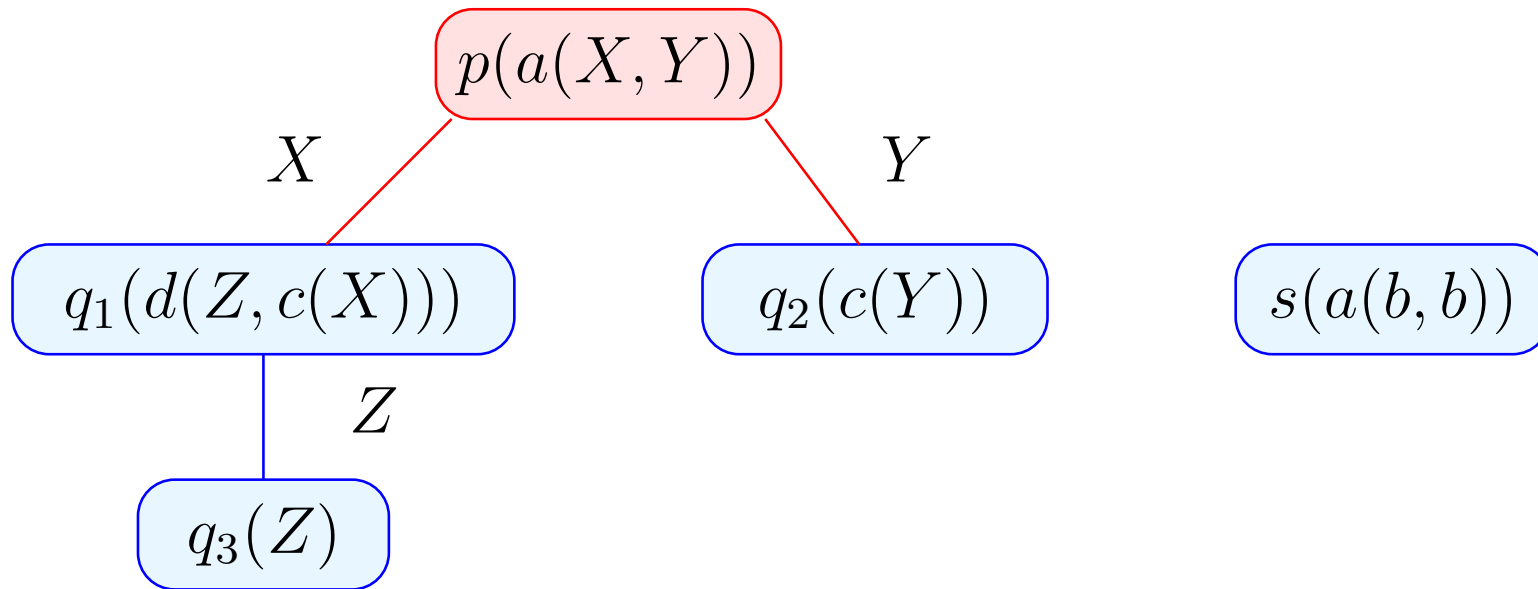
... is not even $\mathcal{H1} :-(\$

Forgetting about Expressivity: $\mathcal{H3}$

All variable dependences are **acyclic**.

Example:

$$p(a(X, Y)) \quad \Leftarrow \quad q_1(d(Z, c(X))) \wedge \\ q_2(c(Y)) \wedge \\ q_3(Z) \wedge \\ s(a(b, b))$$



node : literal

edge : common variable

Idea:

- Apply normalization as in the general case :-)
- Introduce auxiliary predicates to break **very complex** right-hand sides into **simple** ones before-hand ...

A Simple Clause:

$$\text{get}_1(X_1) \Leftarrow q_1(d(Z, X_1)) \wedge q_3(Z)$$

Resolving Simple Clauses:

$$\text{get}_1(X_1) \quad \Leftarrow \quad q_1(d(Z, X_1)) \wedge q_3(Z)$$

$$q_1(d(Z, X_1)) \quad \Leftarrow \quad h_1(Z) \wedge h_2(X_1)$$

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gives:

$$\text{get}_1(X_1) \Leftarrow h_2(X_1) \wedge h_2(Z) \wedge q_3(Z)$$

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gives:

$$\text{get}_1(X_1) \Leftarrow h_2(X_1) \wedge h_2(Z) \wedge q_3(Z)$$

- ⇒ Resolving simple clauses introduces only binary intersections :-)
- ⇒ After splitting these intersections, very simple propagation rules remain :-))

Therefore, we translate:

$$p(a(X, Y)) \Leftrightarrow q_1(d(Z, c(X))) \wedge q_2(c(Y)) \wedge q_3(Z)$$

into:

$$p(a(X, Y)) \Leftrightarrow \text{aux}_X(X) \wedge \text{aux}_Y(Y)$$

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Therefore, we translate:

$$p(a(X, Y)) \Leftrightarrow q_1(d(Z, \boxed{c(X)})) \wedge \boxed{q_2(c(Y))} \wedge q_3(Z)$$

into:

$$p(a(X, Y)) \Leftrightarrow \text{aux}_X(X) \wedge \text{aux}_Y(Y)$$

$$\text{aux}_X(X) \Leftrightarrow \boxed{\text{get}_1(c(X))}$$

$$\text{aux}_Y(Y) \Leftrightarrow \boxed{q_2(c(Y))}$$

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$$\text{aux}_X(X) \Leftrightarrow \text{get}_1(c(X))$$

$$\text{get}_1(X_1) \Leftrightarrow q_1(d(Z, X_1)) \wedge q_3(Z)$$

$$\text{aux}_Y(Y) \Leftrightarrow q_2(c(Y))$$

Note:

In expressiveness, $\mathcal{H3}$ clauses correspond to set constraints without intersections – enhanced with:

$$X \supseteq \pi_{a,i}(a(B_1, \dots, B_{i-1}, \top, B_{i+1}, \dots, B_k) \cap Y)$$

// conditional projection

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// conditional projection

Theorem:

The least model of an $\mathcal{H3}$ -clause c can be computed in time:

$$\mathcal{O}(|c|^3)$$

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Theorem:

The least model of an $\mathcal{H3}$ -clause c can be computed in time:

$$\mathcal{O}(|c|^3)$$

... so what?

4. Instantiation

Expression Evaluation, revisited:

$$\textit{occurs}(E_1) \quad \Leftarrow \quad \textit{occurs}(\textit{pair}(E_1, E_2))$$

$$\textit{occurs}(E_2) \quad \Leftarrow \quad \textit{occurs}(\textit{pair}(E_1, E_2))$$

$$\begin{aligned} \textit{hasValue}(\textit{pair}(E_1, E_2) \wedge \textit{pair}(V_1, V_2)) \\ \quad \Leftarrow \quad \textit{occurs}(\textit{pair}(E_1, E_2)) \wedge \\ \quad \quad \textit{hasValue}(E_1, V_1) \wedge \\ \quad \quad \textit{hasValue}(E_2, V_2) \end{aligned}$$

Observation:

occurs/l holds only for **ground sub-terms** of the input :-)

Instantiation of all Possible Values:

$$\textit{occurs}(e_1) \quad \Leftarrow \quad \textit{occurs}(\textit{pair}(e_1, e_2))$$

$$\textit{occurs}(e_2) \quad \Leftarrow \quad \textit{occurs}(\textit{pair}(e_1, e_2))$$

$$\begin{aligned} \textit{hasValue}(\textit{pair}(e_1, e_2), \textit{pair}(V_1, V_2)) \\ \quad \Leftarrow \quad \textit{occurs}(\textit{pair}(e_1, e_2)) \wedge \\ \quad \quad \textit{hasValue}(e_1, V_1) \wedge \\ \quad \quad \textit{hasValue}(e_2, V_2) \end{aligned}$$

... now is $\mathcal{H3} \text{ :-))}$

In General for Spi:

- Both *reach/l* and *occurs/l* hold for ground sub-terms of **prog** only :-)
- Why not instantiating the corresponding variables in all ways ?
- Removal of these variables results in:
 - ⇒ a clause of size $O(|\text{prog}|)$
 - ⇒ ... which is $\mathcal{H}3$.

... we conclude:

Theorem:

Control-flow analysis for Spi is cubic.



Discussion:

- Mixing bounded components in predicates with unbounded ones, is convenient for practical applications :-)
- Manually performing instantiation is boring and error-prone ...
- Analyses are required for:
 - (1) determining the bounded components of predicates;
 - (2) determining the finitely many values for these components :-)
- The latter can be done with Datalog ;-)